

02-11-02

31044 U.S. PTO  
02/07/02

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

Box See A

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 10739-1  
First Named Inventor: Bernice Schacter  
Express Mail Label No. EL 916936607US  
Total Pages of Transmittal Form: 2

31000 U.S. PTO  
10/072419  
02/07/02

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**Compositions and Methods for Promoting Lipid Mobilization in Humans**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)

of prior Application No. \_\_\_\_\_ filed \_\_\_\_\_.

Anticipated Group/Art Unit: \_\_\_\_\_ or Class \_\_\_\_\_, Subclass \_\_\_\_\_.

☐ This non-provisional patent application is based on Provisional Patent Application  
No. \_\_\_\_\_, filed \_\_\_\_\_.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 57 pages.
- ☐ Application Data Sheet.
- ☒ Executed Declaration (original).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☐ \_\_\_\_\_ sheets of drawings (formal).
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance  
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program  
Appendix)
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
- ☒ Computer readable copy ☒ Paper Copy ☒ Verified Statement.
- ☒ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: BLM Group
- ☐ Certified copy(ies) of \_\_\_\_\_ Application No(s). \_\_\_\_\_ filed \_\_\_\_\_ is/are filed:  
☐ herewith or ☐ in prior application \_\_\_\_\_.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under  
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☒ a Small Business Concern,  
or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO/SB/08A, and cited references.

The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370		BASIC FEE: \$740	
Total	46 - 20 =	26	× 9	\$234.00	OR	X18
Independent	13 - 3 =	10	× 42	\$420.00	OR	X84
[0] Multiple Dependent Claims Present			\$140	\$ 0	OR	\$280
			<b>TOTAL</b>	<b>\$1,024.00</b>	OR	<b>TOTAL</b>
						\$

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ Check in the amount of \$1,024.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210739.0001)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

7 February 2002  
(Date)

By: \_\_\_\_\_

**KRISTYNE A. BULLOCK**

Registration No. 42,371

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103

Telephone: 215-965-1200

**Direct Dial: 215-965-1348**

Facsimile: 215-965-1210

E-Mail: kbullock@akingump.com

☒ Customer Number or Bar Code Label: **000570**

LLC/GDC/vj

Enclosures

EXPRESS MAIL NO. EL916936607US

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re:	Patent Application of <b>Bernice Schacter <i>et al.</i></b>	: Group Art Unit: Not Yet Assigned : :
Appln. No.:	Not Yet Assigned	: Examiner: Not Yet Assigned : :
Filed:	February 7, 2002	: : :
For:	COMPOSITIONS AND METHOD FOR PROMOTING LIPID MOBILIZATION IN HUMANS	: Attorney Docket No. <b>10739-1</b> : :

**STATEMENT TO SUPPORT FILING AND SUBMISSION  
IN ACCORDANCE WITH 37 CFR §§ 1.821 THROUGH 1.825**

I hereby state, in accordance with the requirements of **37 C.F.R. §1.821(f)**, that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with **37 C.F.R. §1.821(c)** and **(e)**, respectively are the same.

Respectfully submitted,

**BERNICE SCHACTER *ET AL.***

7 February 2002  
(Date)

By:

*Kristyne A. Bullock*  
**KRISTYNE A. BULLOCK**

Registration No. 42,371

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103

Telephone: 215-965-1200

**Direct Dial: 215-965-1348**

Facsimile: 215-965-1210

E-Mail: kbullock@akingump.com

KAB/GDC/lcd  
Enclosure